

# Office of Excise Commissioner, Uttar Pradesh, Allahabad

## NOTIFICATION

No. 73233

/X-Licence-57/Bottling Rules/2018

Dated 31-3-2018

In exercise of the powers under Section-41 of the United Provinces Excise Act, 1910 (UP Act no. IV of 1910) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (UP Act no.1 of 1904), the Excise Commissioner with previous sanction of the State Government, makes the following rules with a view to amending the Uttar Pradesh Bottling of Foreign Liquor Rules, 1969 as amended from time to time.

### **The Uttar Pradesh Bottling of Foreign Liquor (Nineteenth Amendment) Rules, 2018**

**Short title and commencement-1.** (1) These rules may be called the Uttar Pradesh Bottling of Foreign Liquor (Nineteenth Amendment) Rules, 2018.  
(2) They shall come into force with effect from 01-04-2018.

#### **Amendment of rule-2.**

2. In the Uttar Pradesh Bottling of foreign liquor rules, 1969, herein after referred to as the said rules, for rule-2 set out in column-1 below, the rule as set out in column-2 shall be substituted, namely:-

| <b>Column-I<br/>(Existing rule)</b>  | <b>Column-II<br/>(Rule hereby substituted)</b>   |
|--|--|
| <p><b>2(1) (a)</b> A bottling licence in Form FL-3 may be granted to –</p> <p>(i) A distiller to bottle spirits;</p> <p>(ii) A brewer to bottle beer; and</p> <p>(iii) A vintner to bottle wines, by the Collector with the previous sanction of the Excise commissioner;</p> <p>(b) The holder of a licence in Form FL-3 may assign the whole or any portion of his bottling privilege to-</p> <p>(i) Another distiller, brewer or vintner of Uttar Pradesh State.</p> <p>(ii) A distiller, brewer or vintner of another State or Union Territory in India.</p> <p>(iii) A distiller, brewer or vintner of outside territory of India, or its wholly owned subsidiary unit in India.</p> <p>Provided that no such a assignee shall exercise any right as such unless a licence in Form FL-3A has been granted to him by the Excise Commissioner on an application made by the holder of FL-3 licence.</p> | <p><b>2(1) (a)</b> A bottling licence in Form FL-3 may be granted to –</p> <p>(i) A distiller to bottle spirits;</p> <p>(ii) A brewer to bottle beer; and</p> <p>(iii) A vintner to bottle wines, by the Collector with the previous sanction of the Excise commissioner;</p> <p>(b) The holder of a licence in Form FL-3 may assign the whole or any portion of his bottling privilege to-</p> <p>(i) Another distiller, brewer or vintner of Uttar Pradesh State.</p> <p>(ii) A distiller, brewer or vintner of another State or Union Territory in India.</p> <p>(iii) A distiller, brewer or vintner of outside territory of India, or its wholly owned subsidiary unit in India.</p> <p>Provided that no such a assignee shall exercise any right as such unless a licence in Form FL-3A has been granted to him by the Excise Commissioner on an application made by the holder of FL-3 licence.</p> |

(c) A bottling licence in Form FL-3A may be granted by the Excise Commissioner to a distiller, brewer and vintner for bottling subject to the following conditions:

(i) A distiller, brewer or vintner shall be entitled to put his own brand name on the labels of the spirit bottled by him after obtaining the approval of the Excise Commissioner.

(ii) Colouring, blending, flavouring or reduction of spirit except under and in accordance with a special permission of the Excise Commissioner with previous sanction of the State Government, shall be prohibited.

(2) Subject to minimum of Rs 200000 (Two lacs) the bottling fee in case of a distiller or a vintner shall be levied on spirit or wine at the following rates or at the rates as fixed by the Excise Commissioner with prior approval of the State Government from time to time.

**(a) Spirit or Wines**

(Bottling Fee in Rs.)

|        | Capacity        | For FL-3<br>(per<br>bottles) | For FL-3A<br>(per<br>bottles) |
|--------|-----------------|------------------------------|-------------------------------|
| (i)    | 2000 ML         | 2.60                         | 3.40                          |
| (ii)   | 1000 ML         | 1.30                         | 1.70                          |
| (iii)  | 700 & 750<br>ML | 1.00                         | 1.35                          |
| (iv)   | 500 ML          | 0.70                         | 1.00                          |
| (v)    | 375 ML          | 0.60                         | 0.80                          |
| (vi)   | 180 ML          | 0.40                         | 0.50                          |
| (vii)  | 100 ML          | 0.25                         | 0.30                          |
| (viii) | 90 ML           | 0.25                         | 0.30                          |
| (ix)   | 60 ML           | 0.15                         | 0.20                          |

(c) A bottling licence in Form FL-3A may be granted by the Excise Commissioner to a distiller, brewer and vintner for bottling subject to the following conditions:

(i) A distiller, brewer or vintner shall be entitled to put his own brand name on the labels of the spirit bottled by him after obtaining the approval of the Excise Commissioner.

(ii) Colouring, blending, flavouring or reduction of spirit except under and in accordance with a special permission of the Excise Commissioner with previous sanction of the State Government, shall be prohibited.

(2) Subject to minimum of Rs 200000 (Two lacs) the bottling fee in case of a distiller or a vintner shall be levied on spirit or wine or L.A.B. (Low Alcoholic Beverages) at the following rates or at the rates as fixed by the Excise Commissioner with prior approval of the State Government from time to time.

**(a) Spirit or Wines**

(Bottling Fee in Rs.)

|        | Capacity        | For FL-3<br>(per<br>bottles) | For FL-3A<br>(per<br>bottles) |
|--------|-----------------|------------------------------|-------------------------------|
| (i)    | 2000 ML         | <b>3.14</b>                  | <b>4.11</b>                   |
| (ii)   | 1000 ML         | <b>1.57</b>                  | <b>2.05</b>                   |
| (iii)  | 700 & 750<br>ML | <b>1.21</b>                  | <b>1.63</b>                   |
| (iv)   | 500 ML          | <b>0.85</b>                  | <b>1.21</b>                   |
| (v)    | 375 ML          | <b>0.72</b>                  | <b>0.97</b>                   |
| (vi)   | 180 ML          | <b>0.48</b>                  | <b>0.60</b>                   |
| (vii)  | 100 ML          | <b>0.30</b>                  | <b>0.36</b>                   |
| (viii) | 90 ML           | <b>0.30</b>                  | <b>0.36</b>                   |
| (ix)   | 60 ML           | <b>0.18</b>                  | <b>0.24</b>                   |

**3 Low Alcoholic Beverages**

**Bottling fee in Rs.**

|       | Capacity<br>(in ml) | For FL-3<br>(per<br>bottles) | for FL-3A<br>(per bottles) |
|-------|---------------------|------------------------------|----------------------------|
| (i)   | 1000                | 0.15                         | 0.25                       |
| (ii)  | 650                 | 0.08                         | 0.15                       |
| (iii) | 500                 | 0.07                         | 0.10                       |
| (iv)  | 325-330             | 0.05                         | 0.07                       |
| (v)   | 275                 | 0.04                         | 0.05                       |
| (vi)  | Less than 275       | 0.03                         | 0.04                       |

**(b) Low Alcoholic Beverages**

**Bottling fee in Rs.**

|       | Capacity<br>(in ml) | For FL-3<br>(per<br>bottles) | for FL-3A<br>(per bottles) |
|-------|---------------------|------------------------------|----------------------------|
| (i)   | 1000                | <b>0.18</b>                  | <b>0.30</b>                |
| (ii)  | 650                 | <b>0.10</b>                  | <b>0.18</b>                |
| (iii) | 500                 | <b>0.08</b>                  | <b>0.12</b>                |
| (iv)  | 325-330             | <b>0.06</b>                  | <b>0.08</b>                |
| (v)   | 275                 | <b>0.05</b>                  | <b>0.06</b>                |
| (vi)  | Less than 275       | <b>0.04</b>                  | <b>0.05</b>                |

|   |   |                                   |                                    |   |   |                                   |                                    |
|---|---|-----------------------------------|------------------------------------|---|---|-----------------------------------|------------------------------------|
| <p><b>(4)</b> Subject to minimum of Rs 100000 (One lac) the bottling fee in case of a brewer shall be levied at the following rate or at the rate as fixed by the Excise Commissioner with prior approval of the State Government from time to time.</p>  |   |                                   |                                    | <p><b>(3)</b> Subject to minimum of Rs 200000 (Two lac) the bottling fee in case of a brewer shall be levied at the following rate or at the rate as fixed by the Excise Commissioner with prior approval of the State Government from time to time.</p>  |   |                                   |                                    |
| <b>Bottling fee in Rs.</b>  |   |                                   |                                    | <b>Beer Bottling fee in Rs.</b>   |   |                                   |                                    |
|   |   | <b>For FL-3<br/>(per bottles)</b> | <b>For FL-3A<br/>(per bottles)</b> |   |   | <b>For FL-3<br/>(per bottles)</b> | <b>For FL-3A<br/>(per bottles)</b> |
| (i)   | Draught Beer per drum (of fifty litre capacity) | 25.00                             | 30.00                              | (i)   | Draught Beer per drum (of fifty litre capacity) | <b>30.19</b>                      | <b>36.23</b>                       |
| (ii)  | Bottles 650 ML                                  | 0.55                              | 0.65                               | (ii)  | Bottles 650 ML                                  | <b>0.66</b>                       | <b>0.78</b>                        |
| (iii)   | Bottles 500 ML                                  | 0.45                              | 0.50                               | (iii)   | Bottles 500 ML                                  | <b>0.54</b>                       | <b>0.60</b>                        |
| (iv)  | Bottles 350 ML or less                          | 0.30                              | 0.40                               | (iv)  | Bottles 350 ML or less                          | <b>0.36</b>                       | <b>0.48</b>                        |
| <p><b>(5)</b> The bottling fee due on various foreign liquor including wine meant for export outside the Country, will in the first instance be realised. Thereafter on production of proof that the quantity of the aforesaid foreign liquor has actually been exported outside the country, an amount of the fee charged earlier may be refunded to the licensee.</p> |   |                                   |                                    | <p><b>(4)</b> The bottling fee due on various foreign liquor including wine, Beer and L.A.B. meant for export outside the Country will in the first instance be realised. Thereafter on production of proof that the quantity of the aforesaid foreign liquor has actually been exported outside the country, an amount of the fee charged earlier may be refunded to the licensee.</p> |   |                                   |                                    |

**Amendment of rule-3**

**3.** In the said rules for existing rule-3 set out in column-1 below, the rule as set out in column-2 shall be substituted, namely:-

| <b>Column-1<br/>(Existing rule)</b>  | <b>Column-2<br/>(Rule hereby substituted)</b>  |
|--|--|
| <p>3.(i) A person, applying for a bottling licence under Rule 2, shall along-with the application furnish the following particulars to the Excise Commissioner, UP namely:</p> <p>(a) the place at which and the premises in which bottling shall be carried on, and</p> <p>(b) the approximate number of days in a week or month during which bottling shall be carried on ;<br/>He shall also submit a detailed plan</p> | <p>3(i) A person, applying for a bottling licence under Rule 2, shall along-with the application furnish the following particulars to the Excise Commissioner, Uttar Pradesh namely <b>and upload application on the designated Portal also.</b></p> <p>(a) the place and the premises in which bottling shall be carried on <b>alongwith Geo tagging showing latitude and longitude.</b></p> <p>(b) the approximate number of days in a week or month during which bottling shall be carried on ;<br/>He shall also submit a detailed plan of</p> |

|   |  |
|---|--|
| <p>of the premises showing the different rooms or compartments and all the permanent fixtures therein. The plan shall be submitted in duplicate where bottling is to be carried on in non-bonded premises and in triplicate where bottling is to be carried on in bond.</p> <p>(ii) The Excise Commissioner, UP, if he is satisfied on enquiry that the applicant is a fit person to hold the required licence and the premises in which such person proposes to carry on bottling are suitable, may, grant the licence. Every such licensee shall deposit Rs. one lacs as security in form FDR or TDR in name of the Excise commissioner, UP before issue of licence. The licensee shall be allowed to draw, as it falls due, the interest accruing on them.</p> | <p>the premises showing the different rooms or compartments and all the permanent fixtures therein. The plan shall be submitted in duplicate where bottling is to be carried on in non-bonded premises and in triplicate where bottling is to be carried on in bond.</p> <p>(ii) The Excise Commissioner, UP, if he is satisfied on enquiry that the applicant is a fit person to hold the required licence and the premises in which such person proposes to carry on bottling are suitable, may, grant the licence. Every such licensee shall deposit Rs. one lacs as security in form FDR or TDR in name of the Excise commissioner, UP before issue of licence. The licensee shall be allowed to draw, as it falls due, the interest accruing on them.</p> |
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#### Amendment of rule-5

4. In the said rules in rule-5 for existing rules (i) and (ii) in column-1 below, the rule as set out in column-2 shall be substituted, namely:-

| <p style="text-align: center;"><b>Column-1<br/>(Existing rule)</b></p>   | <p style="text-align: center;"><b>Column-2<br/>(Rule as hereby substituted )</b></p>   |
|--|--|
| <p>5.(i) Applicant shall enclose original copy of Treasury Challan with his application, showing that Rs. 2,00,000/- (Two Lacs) has been deposited for the grant of new licence in Form FL-3 or FL-3A or both for the year or part thereof.</p> <p>In addition to above the, bottling fee shall be recovered separately in advance according to the provisions of relevant rules.</p> <p>5.(ii) The licensed bottler holding FL-3 or FL-3A licence shall keep an account current of the fees payable on the bottling of spirit, wine and beer. He shall be required to deposit fees in advance in instalments of not less than ten thousand rupees for adjustment of fees on actual number of bottles bottled. After deducting the minimum fee as mentioned in sub-rule(2), if there is a balance of fees, at the end of the year the said balance shall be permitted to be carried for next year or to be refunded in case the licence is cancelled or not allowed to be renewed.</p> | <p>5.(i) Applicant shall enclose original copy of Treasury Challan with his application, showing that Rs. 2,00,000/- (Two Lacs) has been deposited for the grant of new licence in Form FL-3 or FL-3A or both for the year or part thereof.</p> <p>In addition to above the, bottling fee shall be recovered separately in advance according to the provisions of relevant rules.</p> <p>(ii) The licensed bottler holding FL-3 or FL-3A licence shall keep an account current of the fees payable on the bottling of spirit, wine and beer. He shall be required to deposit fees in advance in instalments of not less than Rupees 10,000/- (Ten Thousand) for adjustment of fees on actual number of bottles bottled. After deducting the minimum fee as mentioned in sub-rule(2), if there is a balance of fees, at the end of the year the said balance shall be permitted to be carried for next year or to be refunded in case the licence is cancelled or not allowed to be renewed.<br/><b>Advance deposit of bottling fee shall be made online.</b></p> |

**Amendment of rule-6**

5. In the said rules, for existing rule-6 set out in column-1 below, the rule as set out in column-2 shall be substituted, namely:-

| <b>Column-I<br/>(Existing Rule)</b>   | <b>Column-II<br/>(Rule as hereby substituted )</b>  |
|---|---|
| <p>6. Every licence granted in form FL-3 shall be subject to the following conditions:</p> <p>(1) The licensee shall carry the operations of bottling in the premises previously approved by the Collector in the case of bottling outside the distillery, brewery or vinery and by the Excise Commissioner in the case of bottling within the distillery, brewery or vinery and duly endorsed on the licence and the premises shall not be used for any other purpose except for bottling and storage of foreign liquor.</p> <p>(2) The licensee shall make no alterations in the said premises without the previous approval in writing of the Collector or the Excise Commissioner, as the case may be, and all such alterations shall be shown in the plan filed by him.</p> <p>(3) Bottling shall be conducted in separate room(s) set apart for the purpose. The licensee shall erect bottling vats in bottling room(s) for storage of liquor. He may setup in the bottling room(s) such apparatus for filtration, bottling and processes connected therewith as may be necessary.</p> <p>Bottled liquor shall be stored in separate room(s).</p> <p>Every room shall bear on the outside a board on which shall be painted legibly the purpose for which the room is used.</p> <p>(4) Indian made foreign liquor intended for supply to defence personnel and civilians can be bottled in same bottling hall. In special circumstances such bottling hall can also be used with prior permission of Excise Commissioner for bottling country liquor for supply in Uttar Pradesh.</p> <p>Provided that in any case at the time of, bottling operation of country liquor, the bottling</p> | <p>6. Every licence granted in form FL-3 shall be subject to the following conditions:</p> <p>(1) The licensee shall carry the operations of bottling in the premises previously approved by the Collector in the case of bottling outside the distillery, brewery or vinery and by the Excise Commissioner in the case of bottling within the distillery, brewery or vinery and duly endorsed on the licence and the premises shall not be used for any other purpose except for bottling and storage of foreign liquor.</p> <p>(2) The licensee shall make no alterations in the said premises without the previous approval in writing of the Collector or the Excise Commissioner, as the case may be, and all such alterations shall be shown in the plan filed by him.</p> <p>(3) Bottling shall be conducted in separate room(s) set apart for the purpose. The licensee shall erect bottling vats in bottling room(s) for storage of liquor. He may setup in the bottling room(s) such apparatus for filtration, bottling and processes connected therewith as may be necessary.</p> <p>Bottled liquor shall be stored in separate room(s).</p> <p>Every room shall bear on the outside a board on which shall be painted legibly the purpose for which the room is used.</p> <p>(4) Indian Made Foreign Liquor intended for supply to defence personnel and civilians can be bottled in same bottling hall. In special circumstances such bottling hall can also be used with prior permission of Excise Commissioner for bottling country liquor for supply in Uttar Pradesh.</p> <p>Provided that in any case at the time of, bottling operation of country liquor, the bottling</p> |

operation of Indian made foreign liquor shall not be carried out and the Indian made foreign liquor for the defence personnel and the civilians shall not be bottled together.

(5) Bottling of Indian made foreign rum for issue to defence personnel at concessional rate of duty shall be allowed only in a distillery in bond.

(6) Bottling shall not be done on Sundays and other public holidays. When the licensee wishes to carry on bottling, he shall give to the Collector 48 hours previous notice clearly mentioning the days and hours during which bottling is proposed to be done provided that bottling may be done on a Sunday or a public holiday and 48 hours notice will not be necessary when bottling is done in a distillery or brewery or vinery.

(7) blending or reducing is prohibited except under and in accordance with the special permission of Excise Commissioner;

**Note:-** The terms blending and reducing shall carry the meaning as given in para 45 of the Excise Manual Vol-I (1962 edition)

(8) Addition of any flavouring or colouring material or any other substance in foreign liquor is prohibited except under and in accordance with the special sanction of the Excise Commissioner.

(9) If bottled spirit is meant for sale within Uttar Pradesh, the licensee shall not bottle any foreign liquor of a strength less than 42% of alcohol volume/volume in the case of brandy, whisky or rum or of a strength less than 36% alcohol volume/volume in the case of gin. The spirit bottled for sale outside Uttar Pradesh may be issued at such strength as may be required by the regulations of the State, union territory or country concerned,

(10)(a) The licensee shall not use any bottle of capacity of less than 60ml for bottling of spirit and wine and 300 ml for bottling of beer

operation of Indian made foreign liquor shall not be carried out and the Indian made foreign liquor for the defence personnel and the civilians shall not be bottled together.

(5) Bottling of Indian made foreign rum for issue to defence personnel at concessional rate of duty shall be allowed only in a distillery in bond.

(6) **When the licensee wishes to carry on bottling, he shall give to the Assistant Excise Commissioner forty-eight hours prior notice clearly mentioning the days and hours during which bottling is proposed to be done provided that bottling may be done on a Sunday or a public holiday and forty-eight hours notice will not be necessary when bottling is done in a distillery or brewery or vinery. Ordinarily each official will be on duty for a total period not exceeding eight hours in a day for twenty-four hours the shift time will be from 6.00 AM to 02.00 PM, 02.00 PM to 10.00 PM, 10.00 Pm to 6.00 AM**

(7) blending or reducing is prohibited except under and in accordance with the special permission of Excise Commissioner;

**Note:-** The terms blending and reducing shall carry the meaning as given in para 45 of the Excise Manual Vol-I (1962 edition)

(8) Addition of any flavouring or colouring material or any other substance in foreign liquor is prohibited except under and in accordance with the special sanction of the Excise Commissioner.

(9) If bottled spirit is meant for sale within Uttar Pradesh, the licensee shall not bottle any foreign liquor of a strength less than 42% of alcohol volume/volume in the case of brandy, whisky or rum or of a strength less than 36% alcohol volume/volume in the case of gin. The spirit bottled for sale outside Uttar Pradesh may be issued at such strength as may be required by the regulations of the State, Union territory or country concerned,

(10)(a) The licensee shall not use any bottle of capacity of less than 60ml for bottling of spirit and wine and 300 ml for bottling of beer except in the

except in the case of Foreign Liquor bottled to serve as sample.

(b) Bottles and flasks of capacities as approved by the Excise Commissioner shall be used for bottling of foreign spirit for sale in Uttar Pradesh.

(11) The licensee shall bottle spirit, wine and beer in bottles/flasks/cans or any other container of such capacities as are approved by the Excise Commissioner, Uttar Pradesh.

(12) The figures and letters or quantity of spirit/wine/beer and low alcoholic beverages in millilitres, as the case may be, shall be moulded or sandblasted on the bottles/flasks/cans or other container.

(13) The bottle shall bear the name or mark of the manufacturer along-with the words "Uttar Pradesh"

(14) The licensee shall not use for bottling foreign liquor any bottles or flasks bearing the name and trademark of any other bottler or distiller or brewer or vintner. The Excise Commissioner may however, give permission to the holder of licence in Form F.L.-3A. to use bottles or flasks bearing the name and trademark of any other bottler, distiller, brewer or vintner.

(15) Bottles used for bottling of concessional rum shall also have sandblasted or embossed on them the words "for troops only" along with the letters "C.S.D." when the rum is meant for export outside Uttar Pradesh and the letter "Ä.P.O." when meant for issue to the Army Purchase Organisation.

(16)(a) Indian Made Foreign Liquor which is intended for export to another State or Union territory or other country shall be bottled in bottles having such marks and indications as may be required by the regulations of that State, Union Territory or country.

(b) The liquor bottled for export may be issued in bottles of such sizes as may be required by the regulations of the State, Union

case of Foreign Liquor bottled to serve as sample.

(b) Bottles of capacities as approved by the Excise Commissioner shall be used for bottling of foreign spirit for sale in Uttar Pradesh.

(11) The licensee shall bottle spirit, wine and beer in bottles/cans or any other container of such capacities as are approved by the Excise Commissioner, Uttar Pradesh.

(12) **The figures and letters of quantity of spirit/wine/beer and low alcoholic beverages in millilitres, as the case may be, shall be moulded/embossed/etched or sandblasted on the bottles/cans or other container.**

(13) **The glass/PET bottle will be embossed with the words "Uttar Pradesh Excise" alongwith the last two digit of the year on the chest of the bottle as per time schedule notified by the Excise Commissioner, Uttar Pradesh.**

(14) The licensee shall not use for bottling foreign liquor any bottles bearing the name and trademark of any other bottler or distiller or brewer or vintner. The Excise Commissioner may however, give permission to the holder of licence in Form F.L.-3A. to use bottles bearing the name and trademark of any other bottler, distiller, brewer or vintner.

(15) Bottles used for bottling of concessional rum shall also have sandblasted or embossed on them the words "for troops only" along with the letters "C.S.D." when the rum is meant for export outside Uttar Pradesh and the letter "Ä.P.O." when meant for issue to the Army Purchase Organisation.

(16)(a) Indian Made Foreign Liquor which is intended for export to another State or Union territory or other country shall be bottled in bottles having such marks and indications as may be required by the regulations of that State, Union Territory or country.

(b) The liquor bottled for export may be issued in bottles of such sizes as may be required by the regulations of the State, Union Territory or country concerned.

Territory or country concerned.

(17) Bottles to be used for the purpose of bottling foreign liquor shall be properly cleaned and washed first with a solution of potassium permanganate and then with pure water.

(18) Immediately after the bottles have been filled up, they shall be corked, capsuled and labelled and removed to the room for storage of bottled liquor. A distinctive serial number to be known as the batch number shall be assigned to each bottling operation and the number shall be noted on the label.

(19)(i) In case of foreign liquor manufactured in India labels affixed to the bottles shall have the following conspicuous print on them:

- (a) the description of liquor contained in the bottles e.g. whisky, brandy, rum, gin, etc.;
- (b) the guaranteed fluid contents of the bottle;
- (c) strength of liquor contained in the bottle in the case of whisky, brandy, rum or gin;
- (d) the words "Made in India" ;
- (e) name and address of the licensee ;
- (f) "For sale in Uttar Pradesh only" diagonally on the labels in contrast colour in the size mentioned below :

|     |  |                        |
|-----|--|------------------------|
| (A) | Bottles of the size of 750ml. and above                        | letters of 8 m.m. size |
| (B) | Bottles of the size of 375ml. and above but smaller than 750ml | letters of 4 m.m. size |
| (C) | Bottles of the size of 180ml. and above but smaller than 375ml | letters of 2 m.m. size |
| (D) | Bottles smaller than 180ml.                                    | letters of 1 m.m. size |

(ii) In the case of imported liquor, the labels affixed to the bottles shall have the following conspicuous print on them;

- (a) the description of liquor contained in the bottles e.g. whisky, brandy, rum, gin, etc.;
- (b) the guaranteed fluid contents of the bottle;
- (c) strength of liquor contained in the bottle in the case of whisky, brandy, rum or gin;

(17) Bottles to be used for the purpose of bottling foreign liquor shall be properly cleaned and washed first with a solution of potassium permanganate and then with pure water.

(18) Immediately after the bottles have been filled up, they shall be corked, capsuled and labelled and removed to the room for storage of bottled liquor. A distinctive serial number to be known as the batch number shall be assigned to each bottling operation and the number shall be noted on the label. **A digital record will be maintained also and be submitted online on designated portal of Excise Department daily.**

(19)(i) In case of foreign liquor manufactured in India labels affixed to the bottles shall have the following conspicuous print on them:

- (a) the description of liquor contained in the bottles e.g. whisky, brandy, rum, gin, etc.;
- (b) the guaranteed fluid contents of the bottle;
- (c) strength of liquor contained in the bottle in the case of whisky, brandy, rum or gin;
- (d) the words "Made in India" ;
- (e) name and address of the licensee ;
- (f) "For sale in Uttar Pradesh only" diagonally on the labels in contrast colour in the size mentioned below :

|     |  |                        |
|-----|--|------------------------|
| (A) | Bottles of the size of 750ml. and above                        | letters of 8 m.m. size |
| (B) | Bottles of the size of 375ml. and above but smaller than 750ml | letters of 4 m.m. size |
| (C) | Bottles of the size of 180ml. and above but smaller than 375ml | letters of 2 m.m. size |
| (D) | Bottles smaller than 180ml.                                    | letters of 1 m.m. size |

(ii) In the case of imported liquor, the labels affixed to the bottles shall have the following conspicuous print on them;

- (a) the description of liquor contained in the bottles e.g. whisky, brandy, rum, gin, etc.;
- (b) the guaranteed fluid contents of the bottle;
- (c) strength of liquor contained in the bottle in the case of whisky, brandy, rum or gin;

- (d) the words "Made in" with the name of country of origin;
- (e) the words "Bottled in India"
- (f) name and address of the licensee ;
- (g) "For sale in Uttar Pradesh only" diagonally on the labels in contrast colour in the size mentioned below :

|     |  |                        |
|-----|--|------------------------|
| (A) | Bottles of the size of 750ml. and above                        | letters of 8 m.m. size |
| (B) | Bottles of the size of 375ml. and above but smaller than 750ml | letters of 4 m.m. size |
| (C) | Bottles of the size of 180ml. and above but smaller than 375ml | letters of 2 m.m. size |
| (D) | Bottles smaller than 180ml.                                    | letters of 1 m.m. size |

(iii) Labels on the bottles of foreign liquor for use of defence personnel shall also have the following legends printed on them; on the top of the labels, a legend in red ink as follows:

"For sale to defence personnel only"  
Diagonally across the label a legend in red ink as follows:

"possession by person other than defence personnel is strictly prohibited".

(iv) The labels to be used on liquor bottled for export to another State or Union territory or Country shall be of such design and bear such words as may be required by the regulations of the State, Union Territory or Country concerned. If the labels used resemble those approved for use in Uttar Pradesh, they shall be over printed with the words "For sale in the (name of the State or Union Territory or Country) only". The type to be used for such overprinting shall not be smaller than two line pica.

(v) Labels on the bottles shall be so affixed as to be easily distinguishable. No label shall be pasted over the words, letters and figures moulded or sand blasted thereon.

(vi) Before bringing any label into use, the licensee shall submit exact copies there of in quadruplicate every year with Treasury Challan of every label capacity wise label

- (d) the words "Made in" with the name of country of origin;
- (e) the words "Bottled in India"
- (f) name and address of the licensee ;
- (g) "For sale in Uttar Pradesh only" diagonally on the labels in contrast colour in the size mentioned below :

|     |  |                        |
|-----|--|------------------------|
| (A) | Bottles of the size of 750ml. and above                        | letters of 8 m.m. size |
| (B) | Bottles of the size of 375ml. and above but smaller than 750ml | letters of 4 m.m. size |
| (C) | Bottles of the size of 180ml. and above but smaller than 375ml | letters of 2 m.m. size |
| (D) | Bottles smaller than 180ml.                                    | letters of 1 m.m. size |

(iii) Labels on the bottles of foreign liquor for use of defence personnel shall also have the following legends printed on them; on the top of the labels, a legend in red ink as follows:

"For sale to defence personnel only"  
Diagonally across the label a legend in red ink as follows:

"possession by person other than defence personnel is strictly prohibited".

(iv) The labels to be used on liquor bottled for export to another State or Union Territory or Country shall be of such design and bear such words as may be required by the regulations of the State, Union Territory or Country concerned. If the labels used resemble those approved for use in Uttar Pradesh, they shall be over printed with the words "For sale in the (name of the State or Union territory or Country) only". The type to be used for such overprinting shall not be smaller than two line pica.

(v) Labels on the bottles shall be so affixed as to be easily distinguishable. No label shall be pasted over the words, letters and figures moulded or sand blasted thereon.

(vi) Before bringing any label into use, the licensee shall submit exact copies there of in quadruplicate every year with Treasury Challan of every label capacity wise label approval fee as

approval fee as prescribed by the Excise Commissioner from time to time, to the Assistant Excise Commissioner posted in the distillery, brewery or vintnery, who will forward them to the Excise Commissioner, or any officer authorized by the Excise Commissioner if approves the label shall number it and affix his official seal. One copy shall be retained in the Excise Commissioner's office for record, the remaining three copies shall be returned to the Assistant Excise Commissioner In-charge of the distillery, brewery or vintnery who shall give one copy each to the unit concerned and Excise Inspector In-charge of the unit for record and retain the third copy for his record. The licensee shall comply with such instructions as the Excise Commissioner may issue regarding any label.

(vii) on all the six faces of the cartons of foreign liquor manufactured or bottled in the state "For sale in UP" shall be printed in the minimum size of one inch black letters on a red strip of one and a half inch broad.

(20)(i) Unless otherwise allowed by the Excise Commissioner all bottles shall be securely sealed with pilfer proof capsules or crown corks with alucapsules in such a way as to make it impossible to remove the seal without its being cut and/or broken. The capsules to be used on various kinds of liquor shall be of standard sizes and shall bear on the top the name of the licensee.

(ii) The capsules to be used on liquor bottled for export to another state, Union Territory or Country shall bear such words as may be required by the Excise Commissioner, Uttar Pradesh.

(iii) The licensee shall invariably use the capsules which correspond to the pattern and specification approved by the "Indian Standard Institute" He shall comply with such instruction as may be issued by the Excise Commissioner from time to time.

(21) The licensee shall maintain account in the following registers;

(i) Register in form FLB-3, in which the

prescribed by the Excise Commissioner from time to time, to the Assistant Excise Commissioner posted in the distillery, brewery or vintnery, who will forward them to the Excise Commissioner, or any officer authorized by the Excise Commissioner if approves the label shall number it and affix his official seal. One copy shall be retained in the Excise Commissioner's office for record, the remaining three copies shall be returned to the Assistant Excise Commissioner In-charge of the distillery, brewery or vintnery who shall give one copy each to the unit concerned and Excise Inspector In-charge of the unit for record and retain the third copy for his record. The licensee shall comply with such instructions as the Excise Commissioner may issue regarding any label.

(vii) On all the six faces of the cartons of foreign liquor manufactured or bottled in the state "For sale in UP" shall be printed in the minimum size of one inch black letters on a red strip of one and a half inch broad and **shall be applied security code as approved by the Excise Department on packed cartons (cases), specific number of bottles of different volume, strength, brands and packaging type.**

(20)(i) Unless otherwise allowed by the Excise Commissioner all bottles shall be securely sealed with pilfer proof capsules or crown corks with alucapsules in such a way as to make it impossible to remove the seal without its being cut and/or broken. The capsules to be used on various kinds of liquor shall be of standard sizes and shall bear on the top the name of the licensee.

(ii) The capsules to be used on liquor bottled for export to another State, Union Territory or Country shall bear such words as may be required by the Excise Commissioner, Uttar Pradesh.

(iii) The licensee shall invariably use the capsules which correspond to the pattern and specification approved by the "Indian Standard Institute" He shall comply with such instruction as may be issued by the Excise Commissioner from time to time.

(21) The licensee shall maintain account in the following registers;

(i) Register in form FLB-3, in which the licensee

licensee shall enter the quantity, description and strength of liquor received /issued for bottling.

(ii) Register in form FLB-4 in which the licensee shall enter the bottling operations carried on by him.

(iii) Register in Form, FLB-5 in which the licensee shall enter daily account of foreign liquor bottled and stored in the licensed premises.

(iv) Ledger in FLB-6 in which the licensee shall enter the abstract of all the transactions in the licensed premises.

(v) Register in form FLB-7 in which the licensee shall enter the stock of bulk (un-bottled) and bottled liquor at the end of a month.

(vi) Gauge register of vats in form, FLB-8.

(vii) Dip book in form FLB-9.

(22) The licensee shall invariably apply Security Hologram/Holographic PVC shrink sleeve approved by the Excise Commissioner on each and every bottle/flask/can or any other container of Spirit /Beer/Wine and low alcoholic beverages, immediately after bottling and before issue of spirit/beer/wine for sale in the State of Uttar Pradesh. The Distillers/Brewers/Vintners shall obtain supply of Security Hologram/Holographic PVC shrink Sleeves after payment of price thereof in advance to the approved manufacturer and supplier of Security Hologram/Holographic PVC shrink Sleeves in cash or by bank draft. Distillers/brewers/vintners and required to place indent for required quantity well in advance to the manufacturer / supplier of the Security Hologram/Holographic PVC shrink Sleeves. They will also submit a copy of indent simultaneously to the officer in charge of Security Hologram/Holographic PVC shrink Sleeves warehouse at head quarter of Excise Commissioner. The Security Hologram shall be applied by automatic applicator or manual in such a way that the lower part of it to be fixed on the neck of the bottle and the upper part on the top of the pilfer-proof capsule or crown cork used on the bottle of for capsuling.

shall enter the quantity, description and strength of liquor received /issued for bottling.

(ii) Register in form FLB-4 in which the licensee shall enter the bottling operations carried on by him.

(iii) Register in Form, FLB-5 in which the licensee shall enter daily account of foreign liquor bottled and stored in the licensed premises.

(iv) Ledger in FLB-6 in which the licensee shall enter the abstract of all the transactions in the licensed premises.

(v) Register in form FLB-7 in which the licensee shall enter the stock of bulk (un-bottled) and bottled liquor at the end of a month.

(vi) Gauge register of vats in form, FLB-8.

(vii) Dip book in form FLB-9.

**(viii) These forms will be maintained in digital form also and be submitted online on designated portal of Excise Department daily.**

**(22) The licensee shall apply Security code as approved by the Excise Department on packed carton (case) with specific number of bottles of different volume, strength, brand and packaging type, in addition to each and every bottle/ /Cans/tetrapack or any other container of Spirit /Beer/Wine and low alcoholic beverages, immediately after bottling and before issue of spirit/beer/wine/Low Alcoholic Beverages as a proof of payment of consideration fees and the Distillers/Brewers/Vintners shall ensure compliance of necessary arrangement in this regard. This will be maintained in digital form also and be submitted online on designated portal of Excise Department daily.**

|   |  |
|---|--|
| <p>The Holographic Shrink Sleeves shall be applied by automatic applicator or manual after passing through heat tunnel. The licensee shall maintain daily accounts of stock of the Security Hologram/Holographic PVC shrink sleeves on the prescribed register and shall submit a monthly abstract to the Excise Commissioner through the Deputy Excise Commissioner of the Charge.</p> <p>(23) The licensee shall print the maximum retail price on the labels of Indian made foreign liquor, beer and wine to be sold in Uttar Pradesh.</p> | <p>(23) <b>The licensee shall print the maximum retail price on the labels of Indian Made Foreign Liquor, Beer and Wine to be sold in Uttar Pradesh which shall be easily visible.</b></p> |
|---|--|

**Amendment of rule-7**

6. In the said rules, for existing rule-7 set out in column-1 below, the rule as set out in column-2 shall be substituted, namely:-

| <p align="center"><b>Column-I</b><br/><b>(Existing rule)</b></p>   | <p align="center"><b>Column-II</b><br/><b>(Rule as hereby substituted )</b></p>  |
|--|--|
| <p>7- Following additional special conditions will be applicable to bottling of Indian made foreign liquor in bond under FL-3 licence.</p> <p>(1) The licensee shall execute a bond in Form P.D.B. with such security as may be fixed by the Excise Commissioner. The security shall be furnished either in cash or in interest bearing securities, government promissory notes, national savings certificates, post office savings, bank pass book or post office cash certificates or in fixed deposit receipts of the State Bank of India or any other Bank duly approved by the State Government. The security is liable to be increased or decreased by the Excise Commissioner at any time, should he consider for any reason, that the amount so fixed is inadequate or excessive.</p> <p>(2) Operations connected with the filling of bottles shall be conducted under supervision of an Excise Inspector.</p> <p>(3) The Excise Commissioner shall decide the strength of excise personnel, necessary for the proper supervision of the operations connected with bottling and his decision shall be binding on the licensee.</p> <p>The licensee shall pay to the State Government at the end of each calendar month the cost of excise staff necessary for the supervision of bottling as may be determined from time to time by the Excise Commissioner.</p> | <p>7- Following additional special conditions will be applicable to bottling of Indian made foreign liquor in bond under FL-3 licence.</p> <p>(1) The licensee shall execute a bond in Form P.D.B. with such security as may be fixed by the Excise Commissioner. The security shall be furnished either in cash or in interest bearing securities, government promissory notes, national savings certificates, post office savings, bank pass book or post office cash certificates or in fixed deposit receipts of the State Bank of India or any other Bank duly approved by the State Government. The security is liable to be increased or decreased by the Excise Commissioner at any time, should he consider for any reason, that the amount so fixed is inadequate or excessive.</p> <p>(2) Operations connected with the filling of bottles shall be conducted under supervision of an Excise Inspector.</p> <p>(3) The Excise Commissioner shall decide the strength of excise personnel, necessary for the proper supervision of the operations connected with bottling and his decision shall be binding on the licensee.</p> |

The licensee shall provide quarters to the excise staff to the satisfaction of the Excise Commissioner in the vicinity of the bonded warehouse for bottling of Indian made foreign liquor at a rent not exceeding ten percent, per month of the salary of each member of the staff.

The licensee shall be bound to keep the quarters and their appurtenances in proper repair and not to interrupt or annoy any officer residing therein, in his use or enjoyment thereof. In case any question should arise as to whether the rent demanded by the owner of such quarters is just and reasonable taking into consideration the nature and sufficiency of the accommodation, the question shall be referred to the Excise Commissioner whose decision shall be final and binding on the licensee.

(4) Operations connected with bottling shall be conducted in separate rooms called bottling rooms set apart for the purpose within the premises near the foreign liquor store. In these rooms the licensee may set up such apparatus for filtering, bottling and processes connected therewith as may be necessary. In the bottling rooms bottling vats shall be erected for the storage of foreign liquor. Bottled liquor shall be stored in separate rooms.

All the rooms shall be well ventilated. The windows and ventilators shall be securely barred with iron bar embedded in cement and they shall be wire netted the net having a mesh of not more than 25 mm. Every room shall bear on the outside a board on which shall be painted legibly the purpose for which the room is used. All the rooms shall remain under the separate locks and keys of the licensee and the Excise Inspector.

(5) Bottling shall be done during the prescribed working hours.

(6) No bottles shall be filled with liquor except in the joint presence of the Excise Inspector and a representative of the licensee.

(7) Liquor required for bottling in a distillery, vintnery or brewery shall be measured out and brought into bottling rooms by a permanently fixed pipe fitted within the liquor store with a cock and fastening for an excise lock or such other means as may be approved by the Excise Commissioner.

The licensee shall provide quarters to the excise staff to the satisfaction of the Excise Commissioner in the vicinity of the bonded warehouse for bottling of Indian made foreign liquor at a rent not exceeding ten percent, per month of the salary of each member of the staff.

The licensee shall be bound to keep the quarters and their appurtenances in proper repair and not to interrupt or annoy any officer residing therein, in his use or enjoyment thereof. In case any question should arise as to whether the rent demanded by the owner of such quarters is just and reasonable taking into consideration the nature and sufficiency of the accommodation, the question shall be referred to the Excise Commissioner whose decision shall be final and binding on the licensee.

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(5) **Bottling can be done continuously as per requirements.**

(6) No bottles shall be filled with liquor except in the joint presence of the Excise Inspector and a representative of the licensee **and under CCTV Camera with IP Address.**

(7) Liquor required for bottling in a distillery, vintnery or brewery shall be measured out and brought into bottling rooms by a permanently fixed pipe fitted within the liquor store with a cock and fastening for an excise lock or such other means as may be approved by the Excise Commissioner.

(8) Bottling of Rum meant for issues to troops at concessional rate shall be permitted in separate room(s) other than the room(s) where bottling of any other liquor is carried on. Bottled concessional rum shall not be stored with any other liquor.

(9) The Excise Inspector in-charge of bottling bonded warehouse shall maintain the following registers;

- (i) Register in form F.L.B.-3
- (ii) Register in form F.L.B.-4
- (iii) Register in form F.L.B.-5
- (iv) Register in form F.L.B.-6
- (v) Register in form F.L.B.-7
- (vi) Dip book in form F.L.B.-9

(10) On the last working day of every calendar month, after all the transactions for that day are made, the Excise Inspector in-charge shall take the stock of unbottled and bottled spirit and beer stored in the bottling warehouse, enter into the prescribed register and ascertain the wastage of spirit in the bottling operations and storage in the bonded warehouse.

(11) (a) An allowance up to one per cent may be made on the total quantity of spirit and beer stored during a month for actual loss in bottling and storage. The licensee shall be responsible for the payment of consideration fee calculated on the basis of average consideration fee leviable for all category on wastage in excess of one percent in a month.

(b) When the wastage does not exceed the prescribed limit, no action need be taken by the Excise Inspector In-charge but if an excess is found at the time of monthly stock taking the Excise Inspector shall submit a statement to the Collector by the fifth day of the month in Form F.L.B.-10 showing the quantity of actual wastage and the consideration fee to be paid by the licensee on the excess wastage. On receipt of the statement the Collector shall recover the consideration fee from the licensee at the full rate of consideration fee leviable on Indian

(8) Bottling of Rum meant for issues to troops at concessional rate shall be permitted in separate room(s) other than the room(s) where bottling of any other liquor is carried on. Bottled concessional rum shall not be stored with any other liquor.

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- (i) Register in form F.L.B.-3
- (ii) Register in form F.L.B.-4
- (iii) Register in form F.L.B.-5
- (iv) Register in form F.L.B.-6
- (v) Register in form F.L.B.-7
- (vi) Dip book in form F.L.B.-9

**(vii) These forms will be maintained in digital form also and be submitted daily online on designated portal of Excise Department.**

(10) On the last working day of every calendar month, after all the transactions for that day are made, the Excise Inspector in-charge shall take the stock of unbottled and bottled spirit and beer stored in the bottling warehouse, enter into the prescribed register and ascertain the wastage of spirit in the bottling operations and storage in the bonded warehouse.

(11) (a) An allowance up to one per cent may be made on the total quantity of spirit and beer stored during a month for actual loss in bottling and storage. The licensee shall be responsible for the payment of consideration fee calculated on the basis of average consideration fee leviable for all category on wastage in excess of one percent in a month.

(b) When the wastage does not exceed the prescribed limit, no action need be taken by the Excise Inspector In-charge but if an excess is found at the time of monthly stock taking the Excise Inspector shall submit a statement to the Collector by the fifth day of the month in Form F.L.B.-10 showing the quantity of actual wastage and the consideration fee to be paid by the licensee on the excess wastage. On receipt of the statement the Collector shall recover the consideration fee from the licensee at the full rate of consideration fee leviable on Indian made foreign spirit and beer.

made foreign spirit and beer.

(12) The Excise Inspector in-charge of bonded warehouse for the bottling of Indian made foreign liquor will unless otherwise directed, work under the supervision of, and correspond with the Asstt. Excise Commissioner in whose territory charge the bonded warehouse lies. In all ordinary matters regarding the working of the bonded warehouse the licensee should in the first instance apply to the excise inspector in-charge who will, if necessary secure higher orders.

(13) The hours of attendance of the inspectors posted to a bonded warehouse for the bottling of Indian made foreign liquor will be fixed by the Asstt. Excise Commissioner. The Excise Inspector posted to the bonded warehouse will fix the hours of attendance of clerks and peons. Ordinarily each official will be on duty for a total period not exceeding 08 hours a day if more than one Inspector be posted to a bonded warehouse operations involving the presence of an Inspector may continue from 6 AM to 6PM if the licensee so desire.

(14) The holidays allowed to the Excise Staff posted at the bonded warehouse for the bottling of Indian made foreign liquors are: Sundays, Republic Day (26th January), Good Friday, Mahatma Ghandhi's Birthday (Official), Independence Day, Christmas Day, Holi, (the day following the burning of Holi), Janmashtmi, Deshera (principal day), Diwali (principal day), Idul Fitr (principal day), Idul-zuha, Moharram (10th day) and Shabe-i-Barat.

Other Gazetted holidays will only be allowed if the distillers themselves close down on special grounds with the sanction of the Excise Commissioner.

In case the Excise staff stationed at a bonded warehouse is required to attend the bonded warehouse on any of the such holidays or in night, the licensee shall be required to pay to Government an amount per hour or part thereof which shall not be less than 15 minutes, equal to four times of average salary but such amount such will be only two times of average salary of the employee concerned on overtime done during day time of working days.

(12) The Excise Inspector in-charge of bonded warehouse for the bottling of Indian made foreign liquor will unless otherwise directed, work under the supervision of, and correspond with the **Deputy Excise Commissioner** in whose territory charge the bonded warehouse lies. In all ordinary matters regarding the working of the bonded warehouse the licensee should in the first instance apply to the excise inspector in-charge who will, if necessary secure higher orders.

(13) **The shift and hours** of attendance of the inspectors posted to a bonded warehouse for the bottling of Indian made foreign liquor will be fixed by the Asstt. Excise Commissioner. The Excise Inspector posted to the bonded warehouse will fix the hours of attendance of clerks and peons. Ordinarily each official will be on duty for a total period not exceeding eight hours a day. If more than one Inspector be posted to a bonded warehouse operations involving the presence of an Inspector the licensee may continue upto **twenty-four hours in three shifts i.e. from 6.00 AM to 2.00PM, 02.00PM to 10.00 PM and 10.00PM to 6.00AM.**

(14) The holidays allowed to the Excise Staff posted at the bonded warehouse for the bottling of Indian made foreign liquors are: Sundays, Republic Day (26th January), Good Friday, Mahatma Ghandhi's Birthday (Official), Independence Day, Christmas Day, Holi, (the day following the burning of Holi), Janmashtmi, Deshera (principal day), Diwali (principal day), Idul Fitr (principal day), Idul-zuha, Moharram (10th day) and Shabe-i-Barat.

Other Gazetted holidays will only be allowed if the distillers themselves close down on special grounds with the sanction of the Excise Commissioner.

The licensee may avail the services of the staff on holidays or in additional time only on deposition of amount due in the head "0039 State Excise-other receipts".

(15) Bonded warehouse for the bottling of Indian made foreign liquor shall be open only for the entrance and exit of persons who have business within them. No one except officer of the Excise Department and the Superior Officers of other Government Departments, licensee, his servants and licenced vendors who have come to purchase liquor shall be allowed to enter the premises on any pretext A register shall be kept of the names of all persons employed by licensee.

(16) All the persons entering a bonded warehouse for the bottling of Indian made foreign liquor shall be under the orders of the Excise Inspector In-charge in respect of their conduct and proceedings within the warehouse and shall be liable to search on their quitting the premises at the discretion of the Excise Inspector In-charge.

(17) If it comes to the knowledge of a licensee that any person employed by him has committed any breach of the excise laws or of the engagements entered into by him, it shall be his duty to report the matter to the Collector, and to comply with the directions of the latter officer respecting the continued employment of such person.

(18) The Excise inspector in-charge of a bonded warehouse for bottling of Indian made foreign liquor may eject and exclude from the premises any person as to whom he has reason to believe that he has committed or is about to commit any breach of these rules or of the provisions of the Excise Act, or who is intoxicated or disorderly. All actions under this rule shall forthwith be recorded by him in writing in his official diary for the information of the superiors.

(19) The licensee shall be bound by all the general rules for the management of bonded warehouse for bottling of Indian made foreign liquor and for the issue of foreign liquor there from which may already in force or which may thereafter be prescribed under the existing excise law or under any law which may hereinafter be enacted by all special orders issued by the Excise Commissioner with regard to individual bonded warehouse, and shall cause all persons employed by them in

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(17) If it comes to the knowledge of a licensee that any person employed by him has committed any breach of the excise laws or of the engagements entered into by him, it shall be his duty to report the matter to the Collector, and to comply with the directions of the latter officer respecting the continued employment of such person.

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(19) The licensee shall be bound by all the general rules for the management of bonded warehouse for bottling of Indian made foreign liquor and for the issue of foreign liquor there from which may already in force or which may thereafter be prescribed under the existing excise law or under any law which may hereinafter be enacted by all special orders issued by the Excise Commissioner with regard to individual bonded warehouse, and shall cause all persons employed by them in the manufacture, issue etc of liquor to obey all such

the manufacture, issue etc of liquor to obey all such rules.

(20) (i) No liquor shall be removed except under a pass in Form F.L.B.-11 granted by the Officer empowered in this behalf. The pass shall be issued either on proof of full payment of consideration fee or on proof of execution of bond. It shall be in triplicate, one copy shall be made over to the licensee to cover the transport or export, the second forwarded to the chief revenue authority of the district of import or transport and the third retained for record.

(ii) In case of issue of liquor under bond, the licensee shall execute a general or special bond with or without sureties, as may be, considered expedient in Form F.L.B.B. 1 or F.L.B.B 2, as the case may be to deliver the liquor at a particular place or destination.

(iii) In case of liquor issued under special bond, the bond shall be discharged on the licensee's furnishing proof of his having delivered the liquor at the destination, provided that none of the conditions of the bond has been infringed. The consideration fee on consignment issued under a general bond shall be written off on his furnishing proof of delivery of liquor at the destination, provided that none of the conditions of the bond has been infringed.

(iv) If the licensee does not furnish within the time mentioned in the bond or pass proof of his having delivered the liquor at the destination or if it appears that any of the conditions of the bond have been infringed, the Excise Inspector Incharge shall forthwith take necessary steps to recover from the executants or its sureties the penalty under the bond.

(v) A register of bond will be maintained in Form F.L.B.12 and every issue in bond will, on the date it is made, be entered in this register. On the receipt of intimation that the consignment has duly arrived, an entry to the effect will be made in the relevant column of the register of bond, and the bond so far as that consignment is concerned will be discharged.

As soon as the entries relating to a consignment are complete the Excise

rules.

(20) (i) No liquor shall be removed except under a pass in Form F.L.B.-11 granted by the Officer empowered in this behalf. The pass **affixed with the security code containing details of the consignment in the particular transport vehicle**, shall be issued either on proof of full payment of consideration fee or on proof of execution of bond. It shall be in triplicate, one copy shall be made over to the licensee to cover the transport or export, the second forwarded to the chief revenue authority of the district of import or transport and the third retained for record. **A digital record shall be maintained and also submitted daily online on designated portal.**

(ii) In case of issue of liquor under bond, the licensee shall execute a general or special bond with or without sureties, as may be, considered expedient in Form F.L.B.B. 1 or F.L.B.B 2, as the case may be to deliver the liquor at a particular place or destination.

(iii) In case of liquor issued under special bond, the bond shall be discharged on the licensee's furnishing proof of his having delivered the liquor at the destination, provided that none of the conditions of the bond has been infringed. The consideration fee on consignment issued under a general bond shall be written off on his furnishing proof of delivery of liquor at the destination, provided that none of the conditions of the bond has been infringed.

(iv) If the licensee does not furnish within the time mentioned in the bond or pass proof of his having delivered the liquor at the destination or if it appears that any of the conditions of the bond have been infringed, the Excise Inspector Incharge shall forthwith take necessary steps to recover from the executants or its sureties the penalty under the bond.

(v) A register of bond will be maintained in Form F.L.B.12 and every issue in bond will, on the date it is made, be entered in this register. On the receipt of intimation that the consignment has duly arrived, an entry to the effect will be made in the relevant column of the register of bond, and the bond so far as that consignment is concerned will be discharged.

As soon as the entries relating to a consignment are complete the Excise Inspector

Inspector Incharge of the bottling warehouse will draw a red line to them to enable him to see at a glance the quantity of liquor that is in transit and to restrict issues to the amount covered under the bond.

Incharge of the bottling warehouse will draw a red line to them to enable him to see at a glance the quantity of liquor that is in transit and to restrict issues to the amount covered under the bond.

**(vi) The entire quantity of liquor shall be transported in one consignment and shall not be broken in transit and the movement of consignment shall not be deviated on the route specified in the E-transit pass, the infraction of which may entail on the licensee of distillery, imposition of severe penalty as laid down by the Government.**

**(vii) If any distillery is found to be involved in a deceptive and fraudulent use of E-transit pass for transiting consignment more than once on the valid pass, Officer-in-charge of distillery, as well as distillery shall be liable to severe punishment.**

(21) Issues may be made by the licensee from the bonded warehouse for the bottling of Indian made foreign liquor as follows :

(21) Issues may be made by the licensee from the bonded warehouse for the bottling of Indian made foreign liquor as follows :

(1) Foreign liquor may be issued in bond to persons and places as provided in the rules governing the export and transport of foreign liquor.

(1) Foreign liquor may be issued in bond to persons and places as provided in the rules governing the export and transport of foreign liquor.

(2) Foreign liquor may be issued on payment of consideration fee to :

(2) Foreign liquor may be issued on payment of consideration fee to :

(i) the premises in respect of which the licensee holds a wholesale licence for the vend of foreign liquor.

(i) the premises in respect of which the licensee holds a wholesale licence for the vend of foreign liquor.

(ii) Troops in India, as provided in the export and transport rules, on payment of consideration fee at the prescribed rates.

(ii) Troops in India, as provided in the export and transport rules, on payment of consideration fee at the prescribed rates.

(3) In the said Rules for the form F.L.B.B.-1, F.L.B.B.-2 and P.D.B.-1 the following new forms shall be substituted :

(3) In the said Rules for the form F.L.B.B.-1, F.L.B.B.-2 and P.D.B. the following forms are substituted:

(4) In the said Rules after Form F.L.B.11 the following shall be added as a new Form F.L.B.12.

(4) In the said Rules after Form F.L.B.11 the following Form F.L.B.12 is added.

**Amendment of Form FL-3**

7. In the said rules, for existing Form F.L.3 set out in column-1 below, the form as set out in column-2 shall be substituted, namely:-

| <p align="center"><b>Column-1<br/>(Existing form )</b></p>   | <p align="center"><b>Column-2<br/>(Form as hereby substituted)</b></p>  |
|--|---|
| <p align="center"><b>FORM F.L. 3<br/>(See Rule 2)</b></p> <p>License for the bottling of Indian made/overseas spirit/wine/beer in bond without payment of duty/after payment of duty.</p> <p>Register No. _____</p> <p>Name of licensee _____</p> <p>Locality License for the bottling of Indian made/overseas spirit/ wine/ beer in bond without/ after payment/ payment of duty/ duty in the premises specified below is granted to _____ of _____ in the district of _____ for the period from _____ for the period from _____ to _____ for which a security of Rs. 1,00,000 (one lac) has been paid in advance subject to the provisions of the rules relating to the bottling of foreign liquor, the infraction of any of which or a conviction for any offence under the United Province Excise Act, of Narcotics Drugs and Psychotropic substances Act, 1985 shall render the licensee liable to the forfeiture of his license and the security deposit in addition to any of the penalties imposed under the above laws.</p> <p align="center">Description of licensed Premises.<br/>.....</p> <p align="right">Excise Commissioner,<br/>Uttar Pradesh</p> | <p align="center"><b>FORM F.L. 3<br/>(See Rule 2)</b></p> <p>License for the bottling of Indian made/overseas spirit/wine/beer in bond without payment of duty/after payment of duty.</p> <p>Register No. _____</p> <p>Name of licensee _____</p> <p>Locality License for the bottling of Indian made/overseas spirit/ wine/ beer in bond without/ after payment/ payment of duty/ duty in the premises specified below is granted to _____ of _____ in the district of _____ for the period from _____ for the period from _____ to _____ for which a security of Rs. 1,00,000 (one lac) has been paid in advance subject to the provisions of the rules relating to the bottling of foreign liquor, the infraction of any of which or a conviction for any offence under the United Province Excise Act, of Narcotics Drugs and Psychotropic substances Act, 1985 shall render the licensee liable to the forfeiture of his license and the security deposit in addition to any of the penalties imposed under the above laws.</p> <p align="center"><b>Description of licensed Premises and Geo tagging showing longitude and latitude. ....</b></p> <p>(1) No bottled Foreign Liquor shall be issued from bottling room without affixing security code approved by the Excise Department for sale in Uttar Pradesh.</p> <p>(2) Licensee shall print Maximum Retail Price approved by Excise Commissioner on the labels of Indian Made Foreign Liquor for sale in Uttar Pradesh which will be easily visible.</p> <p>(3) Licensee will make such arrangement to emboss the word "U.P. Excise" and Volume on glass/Pet bottles.</p> <p align="right">Excise Commissioner,<br/>Uttar Pradesh</p> |

|   |   |
|---|---|
| <b>ENDORSEMENT OF RENEWAL</b>   | <b>ENDORSEMENT OF RENEWAL</b>   |
| This license is hereby renewed on the conditions hereinbefore stated for the period stated below: | This license is hereby renewed on the conditions hereinbefore stated for the period stated below: |
| Period _____  | Period _____  |
| Excise Commissioner,<br>Uttar Pradesh   | Excise Commissioner,<br>Uttar Pradesh   |

**Amendment of Form FL-3A**

8. In the said rules, for existing Form F.L.3A set out in column-1 below, the form as set out in column-2 shall be substituted, namely:-

| <b>Column-1<br/>(Existing form )</b>   | <b>Column-2<br/>(Form as hereby substituted)</b>   |
|--|--|
| <b>FL-3A</b><br>[See Rule 2(1) (c)]  | <b>FL-3A</b><br>[See Rule 2(1) (c)]  |
| License for the bottling of Indian made foreign liquor.  | License for the bottling of Indian made foreign liquor.  |
| Register No. _____<br>Name of Licensee<br>_____<br>Locality _____  | Register No. _____<br>Name of Licensee<br>_____<br>Locality _____  |
| License for the bottling of Indian made spirit i.e. whisky, brandy, gin and rum in bond without payment of duty / after payment of duty in the premises specified below is granted to _____ of _____ in the district of _____ for the period from _____ to _____ for which a security of Rs. 1,00,000 (One Lac) has been paid in advance subject to the provision of the rules relation to the bottling of foreign liquor, the infraction of any of which or a conviction for any offence under U.P. Excise Act, 1910 or Narcotic Drugs and Psychotropic Substances Act, 1985 shall render the licensee liable to the forfeiture of his license and the security deposit in addition to any of the penalties imposed under the above laws. | License for the bottling of Indian made spirit i.e. whisky, brandy, gin and rum in bond without payment of duty / after payment of duty in the premises specified below is granted to _____ of _____ in the district of _____ for the period from _____ to _____ for which a security of Rs. 1,00,000 (One Lac) has been paid in advance subject to the provision of the rules relation to the bottling of foreign liquor, the infraction of any of which or a conviction for any offence under U.P. Excise Act, 1910 or Narcotic Drugs and Psychotropic Substances Act, 1985 shall render the licensee liable to the forfeiture of his license and the security deposit in addition to any of the penalties imposed under the above laws. |
| Description of licensed Premises<br>.....  | <b>Description of licensed Premises and Geo tagging showing longitude and latitude</b> .....   |

| <u>CONDITIONS</u>   | <u>CONDITIONS</u>   |
|---|---|
| <ol style="list-style-type: none"> <li>1. The licensee shall obtain on lease the bottling privilege of _____ distillery before bottling Indian made foreign liquor.</li> <li>2. The licensee shall obtain Indian made foreign spirit for bottling as and when required in bulk only from the distillery which has let or assigned its bottling privilege, with or without payment of duty at the prevalent rates.</li> <li>3. The licensee shall not be entitled to bottled spirit in excess of the quantity so let or assigned.</li> <li>4. The licensee shall be entitled to put his own brand name on the labels of the bottles bottled by him after obtaining approval of the Excise Commissioner, Uttar Pradesh.</li> <li>5. Colouring, blending or reduction of spirit is strictly prohibited in the bottling premises.</li> <li>6. The licensee shall obtain a license in Form F.L.1A for the wholesale vend of foreign liquor so bottled in a separate premises.</li> <li>7. The licensee shall deposit bottling fee at the rates and in the manner prescribed under the rules.</li> <li>8. The licensee shall strictly comply with the rules and regulation relating to the bottling of Indian made Foreign Liquor and rules pertaining to issues, transport and export of Indian made Foreign Liquor as provided in the Excise Act and the rules made there under.</li> </ol> <p style="text-align: right;">Excise Commissioner,<br/>Uttar Pradesh.</p> | <ol style="list-style-type: none"> <li>1. The licensee shall obtain on lease the bottling privilege of _____ distillery before bottling Indian made foreign liquor.</li> <li>2. The licensee shall obtain Indian made foreign spirit for bottling as and when required in bulk only from the distillery which has let or assigned its bottling privilege, with or without payment of duty at the prevalent rates.</li> <li>3. The licensee shall not be entitled to bottled spirit in excess of the quantity so let or assigned.</li> <li>4. The licensee shall be entitled to put his own brand name on the labels of the bottles bottled by him after obtaining approval of the Excise Commissioner, Uttar Pradesh.</li> <li>5. Colouring, blending or reduction of spirit is strictly prohibited in the bottling premises.</li> <li>6. The licensee shall obtain a license in Form F.L.1A for the wholesale vend of foreign liquor so bottled in a separate premises.</li> <li>7. The licensee shall deposit bottling fee at the rates and in the manner prescribed under the rules.</li> <li>8. The licensee shall strictly comply with the rules and regulation relating to the bottling of Indian made Foreign Liquor and rules pertaining to issues, transport and export of Indian made Foreign Liquor as provided in the Excise Act and the rules made there under.</li> <li><b>9. The licensee will stock separately of his own product after bottling.</b></li> <li><b>10. All technical information will be provided by licensee to the department timely.</b></li> <li><b>11. Bottled liquor by licensee can be sold outside Uttar Pradesh and in Uttar Pradesh.</b></li> <li><b>12. A technical inspection of FL-3A premises will be done by competent authority nominated by Excise Commissioner and after finding satisfactory report the bottling will have to begin.</b></li> </ol> |

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| <p style="text-align: right;">Excise Commissioner,<br/>Uttar Pradesh.</p> <p style="text-align: center;"><b>ENDORSEMENT OF RENEWAL</b></p> <p>This license is hereby renewed on the conditions hereinbefore stated for the period stated below ;<br/>Period _____</p> <p style="text-align: right;">Excise Commissioner,<br/>Uttar Pradesh</p> | <p style="text-align: right;">Excise Commissioner,<br/>Uttar Pradesh.</p> <p style="text-align: center;"><b>ENDORSEMENT OF RENEWAL</b></p> <p>This license is hereby renewed on the conditions hereinbefore stated for the period stated below ;<br/>Period _____</p> <p style="text-align: right;">Excise Commissioner,<br/>Uttar Pradesh</p> |
|--|--|

**(Dheeraj Sahu)**  
Excise Commissioner  
Uttar Pradesh